



# REGINA CAPITAL DEVELOPMENT CORPORATION

MEMBER: PHILIPPINE STOCK EXCHANGE  
Unit 806, Tower I, PSE Plaza, Ayala Avenue, Makati City  
Office Tel. Nos. : (632) 8485482-84  
Fax. No. : (632) 8485483

Exchange Tels.: (632) 891-9410  
(632) 891-9411  
(632) 891-9412-17  
email address: rcdc@reginacapital.com

## Customer Account Information Form

Customer Code: \_\_\_\_\_

**When making payments, please ask for a Provisional Receipt (PR). The Official Receipt (OR) will follow five days thereafter.**

Individual                       Partnership                       Corporation

Account Type     Cash                       Discretionary                       Margin                       Institutional

Name: _____	TIN: _____
Res. Address: _____	Res. Tel. No.: _____
E-mail Address: _____	Bus. Tel. No.: _____
Bus. Address: _____	Occupation: _____
Nationality: _____ Date of Birth: _____	
Are you associated with another broker or dealer? <input type="checkbox"/> YES <input type="checkbox"/> NO	

**Investment Objective:**                       Speculation                       Long - Term Investment

**Annual Income:** \_\_\_\_\_ **Assets:** \_\_\_\_\_ **Networth:** \_\_\_\_\_

**Are you an Officer or Director of a listed company?**                       Yes                       No

<b>If yes:</b>	<b>Company Name</b>	<b>Position</b>
_____	_____	_____
_____	_____	_____

**For Corporate Account**                       Yes                       No

<b>Authorized Signatories:</b>	<b>Name</b>	<b>Signature</b>	<b>Designation</b>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____

<b>Confirmation to be sent to</b>	<b>Relationship:</b> _____
<b>Address:</b> _____	

_____	_____
<i>Signature of Customer (or Attorney-in-fact)</i>	<i>Date</i>
_____	_____
<i>Signature (over printed name) of Person authorized to exercise in account</i>	<i>Date</i>
_____	_____
<i>Signature (over printed name) of Salesman introducing the account</i>	<i>Date</i>
_____	_____
<i>Signature (over printed name) of Officer or Manager accepting the account</i>	<i>Date</i>

\*\* please sign at the back \*\*

## TERMS AND CONDITIONS

This is to confirm that **REGINA CAPITAL DEVELOPMENT CORP. (RCDC)** will act as my broker in connection with my securities transactions. I hereby agree in the following terms and conditions:

1. For the purpose of buying selling of performing other authorized acts stated herein, I hereby irrevocably appoint and constitute **RCDC** its officers, employees, or successors and assigns, as my life and lawful attorney-in-lact with full power and authority to buy, sell, lend, or borrow securities, or otherwise act for any of my accounts (whether operated individually or jointly with others), to agree upon the price of securities. execute to the purchaser(s) thereof, or such other instruments in writing or documents as may be necessary, and to deliver or accept delivery of the corresponding stock certificate and/or instruments of assignment, hereby ratifying all acts of **RCDC** done which may be done pursuant to the authority conferred herein.

All transaction shall be subject to the constitution, rules, regulations, customs and usages of the Philippines Stock Exchange, Inc. the Securities and Exchange Commission, the Philippine Clearing House, **RCDC** and the laws of the Philippines as may be amended from time to time.

2. Any order by me for the purchase or sale of securities shall be binding on **RCDC** only upon its issuance of the confirmation notice. **RCDC** does not warrant that any order placed can be or has been executed unless the confirmation notice is issued. The contents of confirmation notices sent to my address indicated herein shall be deemed conclusive upon me as to their correctness, unless within twenty-four (24) hours from receipt thereof, written notice of any objection is served upon **RCDC**.
3. I agree that when **RCDC** has executed a purchase or sale transaction on my behalf I shall deliver the full payment of the purchase price or make good delivery of sold securities to **RCDC** against payment as the case may be not a or than four (4) days after the date of transaction (the settlement to **RCDC** shall be made in freely transferable, cleared and available funds, In such currency and to such accounts as **RCDC** may specify and shall be made without set off or counter claim and free and clear of and without deduction for or on account of all present and future taxes, imposts, stamp duties, deductions, charges and withholdings. Payments made by check shall have the effect of payment only when cleared by the drawee bank.
4. In the event my cash account is not liquidated within three (3) days from settlement date, or whenever in its sole discretion **RCDC** considers it necessary for its own protection, I hereby specifically authorize and empower **RCDC** without need of prior notice and demand, to sell so much of the securities in my account(s) (whether herein carried individually or jointly with others) and herein delivered as collateral, necessary for the payment of any of my obligations to **RCDC** I hereby guarantee that such securities are free from all liens and encumbrances. It being expressly understood that in the event that any such liens are later discovered which prevent the subsequent negotiation of the said securities. **RCDC** may, at its sole discretion, buyback the sold securities and collection from me whatever amount **RCDC** may incur by reason of such buyback Including damages which it may suffer or may be required to pay. It is hereby agreed and understood that I shall at all times be liable for the payment of any unpaid balance owing, if any on my account(s) together with interest, provided I shall remain liable for any deficiency remaining in any such account(s) in the event of liquidation.

**RCDC** shall be entitled to a reasonable compensation for attorney's fees and cost collection. In the event of any controversy or litigation arising out of this Agreement. It is agreed that the venue of action for any litigation shall be in the proper courts of the Municipality of Makati, any other venue of suit being waived.

5. In case of joint accounts, It shall be expressly indicated whether the same is an "and/or" account with all parties to said account signing thereunder. Unless otherwise indicated, a joint account shall be deemed to be an "and/or" account. For "and" accounts, the consent of all the signatories must first be obtained prior to the execution of any instructions, orders, or communication. For "and/or" accounts, the consent of any one of the signatories shall be sufficient to bind the other signatories Securities now and hereafter held in their account shall be owned by them as their joint property with rights of survivorship **RCDC** shall by held free and harmless against any all losses damages, costs, penalties fines and taxes which may be incurred as a result of any action taken or not taken by **RCDC** (1) in reliance upon the instructions, orders, or communications relived by **RCDC** to be those of one of the signatories (2) in reliance upon the attestation of the signatories all of them are still living on the date of the transaction made by any one all of them. Any liability arising herein shall be deemed to be solidary liability of the signatories of this account.
6. I hereby attest. That I am fully in compliance in the revised acts of 2000.
7. **RCDC** with provide me with quarterly statements (in such form as **RCDC** may determine) setting forth the list of securities held to my accounts. If any, and details of all transactions entered into since the date of the proceeding statements (if any) and containing such further information as **RCDC** may consider relevant.
8. I understand that the stock market is a rapidly changing market and there is an inherent risk in incurring loss in securities transactions which shall be for my account. For this reason, I hereby hold **RCDC** free and harmless against any and all costs, losses, damages, fines, penalties and taxes which it may incur arising out or in connectin with the exercise of any of its functions and powers herein authorized, except those arising from the wilfull default or gross negligence of **RCDC** or any of its directors, officers, and employees.
9. I hereby unconditionally and irrevocably authorizes and grants **RCDC** the absolute discretion to record or cause the recording, using any device with **RCDC** may deem appropriate or advisable (including, without limitation, tape recorders and other recording instruments) any telephone communication or spoken word between the undersigned and any of the representatives of **RCDC** in the course of, or in connection with, any transaction or dealing hereunder or which may relate or pertain hereto, and any such recording or any part thereof shall be admissable as evidence in any proceeding.
10. If any one or more of the provisions contained in this Agreement or any others document hereinafter executed in connection herewith shall be deemed invalid, illegal or unenforceable in any respect, the validity, legality and enforceability of the remaining provision shall not in any way be affected or impaired.
11. All the information contained herein are complete, true and correct. **RCDC** is entitled to rely on such information until **RCDC** has received written notice from me of any change therein. I hereby authorizes **RCDC** to conduct a credit inquiry or check on my financial situation and investment objectives.
12. All the above provisions are deemed incorporated by reference in all confirmation notices issued to me regarding of my orders. This Agreement shall continue to be in full force and effect until signed notice to revocation, It shall continue to be valid and bindings as to transactions entered prior thereto.

My signature below affirms conformity of the foregoing conditions. Makati City, Metro Manila \_\_\_\_\_, 20, \_\_\_\_\_

\_\_\_\_\_  
PRINT NAME AND SIGN

<b>CHECK</b>	<b>PRINT NAME</b>	<b>CITIZENSHIP</b>
MR. <input type="checkbox"/>		
MRS. <input type="checkbox"/>		
MISS <input type="checkbox"/>		
	<b>TIN NO.</b>	
<b>STOCK SPECIMEN SIGNATURE CARD</b>		
<b>Please Sign Twice</b>	1.	
	2.	
<b>ADDRESS:</b>		
		<b>TELEPHONE</b>
<small>Important: Pursuant to the regulations of the Securities &amp; Exchange Commission. No certificate can be issued to a buyer unless he signs and indicates his citizenship on this card. With respect to street certificate. The citizenship of the beneficial owner and not of the broker should be indicated. Please return this card to Suite 806 Tower 1 Ayala Ave., Makati City.</small>		<b>SIGNATURE VERIFIED BY</b>
		REGINA CAPITAL DEVELOPMENT CORPORATION

<b>CHECK</b>	<b>PRINT NAME</b>	<b>CITIZENSHIP</b>
MR. <input type="checkbox"/>		
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